

ZERO TOLERANCE STATEMENT

零容忍声明

The Board is committed to carrying out business fairly, honestly and openly.

董事会致力于公平、诚实、和公开地开展业务。

Accordingly, we would like to express our commitment to a "zero tolerance" approach to any form of bribery or corrupt activity.

相应地，我们希望明示：我们承诺对任何形式的贿赂或腐败活动采取“零容忍”态度。

All employees and executives have been clearly informed that breaching the anti-bribery policies which RWE Supply & Trading (Shanghai) Co., Ltd. has put in place may lead to formal disciplinary sanctions up to, and including termination of employment. Furthermore, it is possible that any breach may lead to criminal liabilities for both individuals and the company.

所有员工和管理人员已被明确告知：违反莱茵能源（上海）有限公司确立的反贿赂政策可能导致包括直至解除劳动关系的正式纪律处分。不仅如此，任何违反都可能导致个人和公司的刑事责任。

The Board also expects agents and sub-contractors to adhere to the same high standards. We will not retain any person or company known to have paid or solicited bribes. Furthermore, we require our agents and consultants to acquaint themselves with RWE's Code of Conduct. In addition, where appropriate, we impose contractually binding undertakings including a requirement that any funds paid by RWE Supply & Trading (Shanghai) Co., Ltd. must be returned should it be suspected that bribery is taking place prior to the contract being terminated.

董事会也期望代理和分包商遵守相同的高标准。我们不会聘用任何已知曾行贿或索贿的任何人或公司。不仅如此，我们要求我们的代理和顾问熟悉《RWE行为守则》。此外，我们会在适当的情况下要求代理、顾问做出具有合同约束力的承诺，包括要求：如果怀疑在合同终止前发生贿赂，则必须退还莱茵能源（上海）有限公司支付的任何资金。

By explicitly rejecting all forms of corruption, the Board retains both its high reputation as well as the confidence of our business partners.

董事会通过明确拒绝一切形式的腐败，保持其崇高的声望、以及我们的商业伙伴的信心。

The Board ensures that the relevant training is provided to employees, and that RWE Supply & Trading (Shanghai) Co., Ltd. continues to maintain rigorous operating procedures and uphold relevant directives.

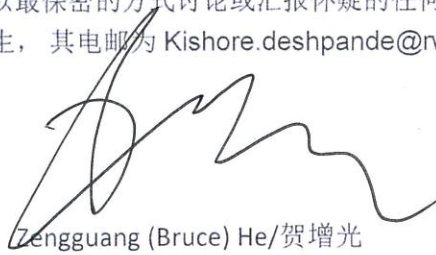
董事会保证：为员工提供相关培训，且莱茵能源（上海）有限公司继续保持严格的运营程序，并秉承相关指令。

The Compliance Officer (Mr. Chandrahas Deshpande, Kishore.deshpande@rwe.com) should be the first point of contact for anyone seeking further guidance or wishing to discuss or report a suspicion in the strictest confidence.

对于寻求进一步指导、或希望以最保密的方式讨论或汇报怀疑的任何人，应首先联系合规官（Chandrahas Deshpande 先生，其电邮为 Kishore.deshpande@rwe.com）。



Chandrahas Deshpande



Zengguang (Bruce) He/贺增光



Simon Holl